

**Third meeting of the Conference of the Parties
New Delhi (India), 25 February-8 March 1981**

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Conf. 3.1
Parties ACKNOWLEDGING the work of the Standing Committee established at the second meeting of the Conference of the Parties

The Standing Committee of the Conference of the Parties

ACKNOWLEDGING the work of the Standing Committee established at the second meeting of the Conference of the Parties (San José, 1979);

CONSIDERING the experience of the present Standing Committee in matters of advice to the Secretariat and representation of the Parties between meetings of the Conference of the Parties;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

DECIDES to revise the mandate of the Standing Committee of the Conference of the Parties, as originally set out in Conf. 2.2, by establishing the following functions for the Standing Committee, which supersede the functions specified in that document.

Within the policy agreed by the Conference of the Parties, the Standing Committee shall:

- i) carry out, between one Conference of the Parties and the next, such interim activity on behalf of the Conference as may be necessary, subject to any such activity being limited to matters on which the Conference has previously recorded its agreement;
- ii) oversee, as a representative of the Parties, the execution of the Secretariat's budget;
- iii) act as bureau at meetings of the Conference of the Parties, in accordance with the rules of procedures;
- iv) provide guidance and advice to the Secretariat on the implementation of the Convention, on the preparation of meetings, and on any other matters brought to it by the Secretariat in exercise of its functions;
- v) perform any other functions as may be entrusted to it by the Conference of the Parties; and
- vi) report to the Conference of the Parties on the activities it has carried out between two meetings of the Conference.

* This document was prepared after the meeting from document Doc. 3.5 Annex 1 (Rev.) adopted after having been amended. (Note from the Secretariat).

Conf. 3.2* Financing of the Secretariat and of Meetings

Financing of the Secretariat and of Meetings of the Conference of the Parties

RECALLING Resolution Conf. 2.1 adopted at the second meeting of the Conference of the Parties (San José, 1979);

HAVING REVIEWED the 1980 accounts and the 1982-83 budget estimate, submitted by the Secretariat;

NOTING with appreciation Decision 7/14 E adopted by the Governing Council of UNEP in 1979;

ACKNOWLEDGING with appreciation the continuing support provided by UNEP, with the assistance of IUCN, to the Secretariat;

ACKNOWLEDGING that the procedure for amendment of the Convention has been initiated with a view to adoption of financial provisions, but that the number of acceptances necessary to bring the amendment into force has not yet been obtained;

RECOGNIZING that the Parties meanwhile shall formulate interim procedures regarding their financial participation, until the adoption and entry into force of such an amendment;

RECOGNIZING the continuing need for an arrangement between the Parties and the Executive Director of UNEP;

NOTING the considerable increase in numbers of organizations attending the meetings of the Conference of the Parties as observers, and the resulting additional expenditures;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

APPROVES the 1980 accounts;

INSTRUCTS the Secretary General to adjust downwards the dollar amounts in the proposed 1982-83 budget and scale of contributions to compensate for the appreciation of the dollar against the Swiss franc since the adoption of the budget for 1980-81;

APPROVES the 1982-83 budget as so adjusted;

REQUESTS that the Executive Director of UNEP continue, with the approval of the Governing Council of UNEP, a Trust Fund to provide financial support for the aims of the Convention in accordance with the Terms of Reference for the Administration of the Trust Fund for the Convention on International Trade in Endangered Species of Wild Fauna and Flora, attached in the Annex;

RECOMMENDS that the Terms of Reference attached in the Annex serve as a basis for contributions during the financial period beginning 1 January 1982 and ending 31 December 1983;

EMPHASIZES to the Parties the importance of making their contributions to the Trust Fund promptly by the beginning of the respective calendar year or financial period to which the contributions apply or, if this is not possible, as soon thereafter as possible;

URGES all Parties which have not yet done so to deposit as soon as possible an instrument of acceptance of the amendment of 22 June 1979;

INVITES States not Party to the Convention, other governmental, inter-governmental and non-governmental organizations, and other sources to consider contributing to the Trust Fund, and instructs the Secretary General to communicate this invitation in an appropriate manner; and

* This document was prepared after the meeting from document Corn. 3.10 adopted after having been amended. The adjustments requested in the second operative paragraph have been carried out in consultation with UNEP. (Note from the Secretariat).

DECIDES that a standard participation charge of US\$ 50.- shall be required for meetings, at the time of registration, from all observer organizations other than the United Nations and its specialized agencies, except as otherwise reduced by the Standing Committee as required.

Annex

TERMS OF REFERENCE FOR THE ADMINISTRATION OF THE TRUST FUND FOR THE CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

1. The Trust Fund for the Convention on International Trade in Endangered Species of Wild Fauna and Flora (hereinafter referred to as the Trust Fund) shall be continued for a period of two years to provide financial support for the aims of the Convention.
2. Pursuant to the Financial Regulations and Rules of the United Nations, the Executive Director of UNEP, with the approval of the Governing Council, shall continue the Trust Fund for the administration of the Convention.
3. The financial period shall be for two calendar years beginning 1 January 1982, and ending 31 December 1983. The appropriations of the Trust Fund for the financial period shall be financed from:
 - (a) the contributions made by the Parties by reference to Table 1, including contributions from any new Parties which are to be added to Table 1;
 - (b) a contribution from the Fund of UNEP in accordance with the Decisions of its Governing Council;
 - (c) contributions from States not Party to the Convention, other governmental, inter-governmental and non-governmental organizations and other sources; and
 - (d) any uncommitted appropriations from the 1980-81 financial period.
4. The budget estimates covering the income and expenditure for each of the two calendar years constituting the financial period to which they relate, prepared in US dollars, shall be submitted to the regular meeting of the Conference of the Parties to the Convention.
5. The estimates of each of the calendar years covered by the financial period shall be divided into sections and chapters; shall be specified according to budget lines; shall include references to the programmes of work to which they relate; and shall be accompanied by such information as may be required by, or on behalf of, the contributors, and such further information as the Executive Director of UNEP may deem useful and advisable. In particular, estimates shall also be prepared for each programme of work for each of the calendar years, with expenditures itemized for each programme so as to correspond to the sections, chapters, and budget lines described in the first sentence of this paragraph. The sum of the programme estimates shall equal the sum of the estimates described in the first sentence of this paragraph.
6. In addition to the budget estimates for the financial period described in the preceding paragraphs, the Secretary General of the Convention, in consultation with the Standing Committee and the Executive Director of UNEP, shall prepare a medium term plan as envisaged in Chapter III of Legislative and Financial Texts Regarding The United Nations Environment Programme and the Environment Fund. The first medium term plan will cover the years 1982-1985, inclusive, and will incorporate the budget for the 1982-83 financial period.
7. The proposed budget and medium term plan shall be dispatched by the Secretariat to all Parties at least ninety days before the date fixed for the opening of the regular meeting of the Conference of the Parties.
8. The budget and medium term plan shall be adopted by a 3/4 majority of the Parties present and voting at the regular meeting.
9. Upon the request of the Standing Committee, the Executive Director of UNEP should, to the extent consistent with the Financial Regulations and Rules of the United Nations, make transfers from one budget line to another. The amount of any such transfer may not exceed 30% of the original amount of the budget

line from which the transfer is made. Within any one calendar year, the net sum of all such transfers may not exceed 15% of the budget for that calendar year. At the end of the first calendar year of a financial period, the Executive Director of UNEP, upon request of the Standing Committee, may proceed to transfer any uncommitted balance of appropriations, to the same section in the second calendar year, provided that such transfers do not exceed 20% of the smaller amount of the two annual appropriations for the sections concerned.

10. At the close of the calendar year to which they relate, the uncommitted appropriations shall be cancelled unless required for transfers or carry over. At the close of the financial period to which they relate, the uncommitted appropriations shall be cancelled.
11. All contributions shall be paid in convertible currencies. Contributions from States that become Parties after the beginning of the financial period should be made on a pro-rata basis for the balance of the financial period,
12. At the end of each calendar year of a financial period, the Executive Director of UNEP shall submit to the Parties the accounts for the year. He shall also submit, as soon as practicable, the audited accounts for the financial period.
13. Those financial reports now required to be submitted quarterly during the year by IUCN to UNEP in accordance with Project Number FP/1104-76-01 (284) shall be transmitted simultaneously by the Secretary General of the Convention to members of the Standing Committee.
14. The Secretary General of the Convention shall provide the Standing Committee with an estimate of proposed expenditures over the coming quarter simultaneously with, or as soon as possible after, distribution of the accounts and reports referred to in the preceding paragraphs.
15. In the event of a shortfall in resources, the Executive Director of UNEP shall consult with the Secretary General, who shall seek the advice of the Standing Committee as to its priorities for expenditure. In accordance with this advice, and consistent with the Financial Regulations and Rules of the United Nations, the Executive Director of UNEP shall provide any necessary guidance to the Secretary General of the Convention regarding expenditures over the coming quarter.
16. The general procedures governing the operations of the Fund of UNEP and the Financial Regulations and Rules of the United Nations shall govern the financial operations of the Trust Fund for the Convention.
17. These Terms of Reference shall be effective for the financial period of 1 January 1982 to 31 December 1983.

Table 1

Scale of Contributions for the Biennium 1982-83

Party	UN scale %	1982-83 share US\$	Annual share US\$
Australia	1.83	20,763.18	10,381.59
Bahamas	0.01	113.46	56.73
Bolivia	0.01	113.46	56.73
Botswana	0.01	113.46	56.73
Brazil	1.27	14,409.42	7,204.71
Canada	3.28	37,214.88	18,607.44
Central African Republic	0.01	113.46	56.73
Chile	0.07	794.22	397.11
Costa Rica	0.02	226.92	113.46
Cyprus	0.01	113.46	56.73
Denmark	0.74	8,396.04	4,198.02
Ecuador	0.02	226.92	113.46
Egypt	0.07	794.22	397.11
Finland	0.48	5,446.08	2,723.04
France	0.26	71,025.96	35,512.98
Gambia	0.01	113.46	56.73
German Democratic Republic	1.39	15,770.94	7,885.47
Germany, Federal Republic of	8.31	94,285.26	47,142.63
Ghana	0.03	340.38	170.19
Guatemala	0.02	226.92	113.46
Guyana	0.01	113.46	56.73
India	0.60	6,807.60	3,403.80
Indonesia	0.16	1,815.36	907.68
Iran	0.65	7,374.90	3,687.45
Israel	0.25	2,836.50	1,418.25
Italy	3.45	39,143.71	19,571.85
Japan	9.58	108,694.68	54,347.34
Jordan	0.01	113.46	56.73
Kenya	0.01	113.46	56.73
Liechtenstein	0.01	113.46	56.73
Madagascar	0.01	113.46	56.73

Party	UN scale %	1982-83 share US\$	Annual share US\$
Malaysia	0.09	1,021.14	510.57
Mauritius	0.01	113.46	56.73
Monaco	0.01	113.43	56.73
Morocco	0.05	567.30	283.65
Nepal	0.01	113.46	56.73
Nicaragua	0.01	113.46	56.73
Niger	0.01	113.46	56.73
Nigeria	0.16	1,815.36	907.68
Norway	0.50	5,673.00	2,836.50
Pakistan	0.07	794.22	397.11
Panama	0.02	226.92	113.46
Papua New Guinea	0.01	113.46	56.73
Paraguay	0.01	116.46	56.73
Peru	0.06	680.76	340.38
Rwanda	0.01	113.46	56.73
Senegal	0.01	113.46	56.73
Seychelles	0.01	113.46	56.73
South Africa	0.42	4,765.32	2,382.66
Sri Lanka	0.02	226.92	113.46
Suriname	0.01	113.46	56.73
Sweden	1.31	14,863.26	7,431.63
Switzerland	1.05	11,913.30	5,956.65
Tanzania, United Republic of	0.01	113.46	56.73
Togo	0.01	113.46	56.73
Tunisia	0.03	340.38	170.19
Union of Soviet Socialist Republics	11.10	125,940.60	62,970.30
United Arab Emirates	0.10	1,134.60	567.30
United Kingdom of Great Britain and Northern Ireland	4.46	50,603.16	25,301.58
United States of America	25.00	283,650.00	141,825.00
Uruguay	0.04	453.84	226.92
Venezuela	0.50	5,673.00	2,836.50
Zaire	0.02	226.92	113.46

Party	UN scale %	1982-83 share US\$	Annual share US\$
Zambia	0.02	226.92	113.46
SUB-TOTAL: Gouvernement contributions	83.74	950,114.04	475,057.02
UNEP Fund contribution		175,000.00	87,500.00
Miscellaneous income (incl. publications, Trust Fund interests)		9,485.96	4,742.98
TOTAL INCOME		1,134,600.00	567,300.00

Conf. 3.3

ree Parties to obtain a beneficial arrangement as to the headquarters of the Secretari

Headquarters of the Secretariat

ACKNOWLEDGING with gratitude the assistance of the governments of three Parties to obtain a beneficial arrangement as to the headquarters of the Secretariat, in order to assure the most efficient expenditure of the budget provided by contributions of the Parties;

CONSIDERING the negotiations carried out by the Standing Committee over the last two years, and the recommendations of that Committee;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

DECIDES that the headquarters of the Convention Secretariat shall remain in Switzerland, in association with the headquarters of the IUCN Secretariat;

COMMENDS and THANKS the Government of Switzerland and IUCN for their efforts to support the Convention Secretariat and to ensure its efficient operation; and

ENCOURAGES the Government of Switzerland to use its best endeavours to afford relief from taxation to the Convention Secretariat.

* *This document was prepared after the meeting from document Doc. 3.5 Annex 2 adopted after having been amended. (Note from the Secretariat).*

Conf. 3.4*

Technical Co-operation

NOTING that more than two thirds of the present membership of the Convention are developing countries;

RECOGNIZING the special difficulties of developing countries in the establishment, staffing, training and equipment of Management Authorities as required by the Convention;

ACKNOWLEDGING with appreciation the technical assistance to developing countries in this field, already provided by the World Wildlife Fund and the People's Trust for Endangered Species;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

CALLS on all Parties to ensure the inclusion of the technical assistance, in matters relating to this Convention, in the bilateral and multilateral programmes of development aid in which they participate;

URGES Parties to make special funding and qualified staff available, possibly by way of "associate expert" assignments to the Secretariat and to developing countries, to carry out such technical assistance projects for the benefits of the other Parties; and

REQUESTS the Secretariat in consultation with the Standing Committee to continue to seek external funding for this purpose, and to execute the projects so funded, on behalf of the parties.

* *This document was prepared after the meeting from document Doc. 3.20 Annex 1 adopted without amendments. (Note from the Secretariat).*

Conf. 3.5
the "Technical Expert Committee on Harmonization of Permit Forms and Procedures"

Technical Expert Committee

ACKNOWLEDGING the work of the "Technical Expert Committee on Harmonization of Permit Forms and Procedures" established at the second meeting of the Conference of the Parties (San José, 1979, Conf. 2.5);

RECALLING that the mandate for this committee of experts included a forum for discussion of problems encountered in implementing controls of trade in species included in Appendices II and III (Conf. 2.6);

MINDFUL that there is a continuing need for a committee of experts from all interested Parties to meet from time to time to address problems with implementation and enforcement of trade controls and to draft recommendations for consideration of the Parties;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

DECIDES to establish a Technical Expert Committee of the Conference of the Parties consisting of one or more technical experts from all interested Parties which shall:

- a) hold such meetings as are determined necessary by the Chairman, in agreement with the Chairman of the Standing Committee;
- b) conduct business in accordance with rules of procedure adopted by the Committee;
- c) identify, by means of the continual review of the annual reports of the Parties and other techniques, problems with enforcement of the Convention and provide guidance to the Secretariat and the Parties on measures that may be undertaken to remedy these problems;
- d) review the implementation of the Convention by the Parties and make recommendations for harmonization of documents and procedures;
- e) draft resolutions for consideration by the Conference of the Parties; and
- f) perform any other functions as may be entrusted to it by the Conference of the Parties or by the Standing Committee.

* This document was prepared after the meeting from document Com. 3.23 (Rev.) adopted without amendments. (Note from the Secretariat).

Conf. 3.6*

Standardization of Permits and Certificates Issued by Parties

RECALLING mandatory requirements for the granting of permits and certificates specified in Article VI of the Convention;

RECALLING also the definitions of "bred in captivity" and "artificially propagated" adopted by the second meeting of the Conference of the Parties (San José, 1979) in Resolution Conf. 2.12, and the requirements of Article VII, paragraph 5, of the Convention pertaining to the issuance of certificates for specimens bred in captivity or artificially propagated;

RECOGNIZING that many Parties have not to this point achieved strict compliance with the requirements of the Convention;

CONSCIOUS of the need to meet the requirements of the Convention in regard to the contents of permits and certificates;

CONSIDERING the need for improved standardization of export permits and re-export certificates;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

URGES every Party to comply fully with the requirements of Article VI of the Convention, and Resolution Conf. 2.12;

RECOMMENDS

- a) that import Parties for Appendix I specimens, re-export certificates and other certificates issued by a Party under provisions of the Convention contain, as appropriate, similar information to that required for the export permit;
- b) that Parties provide in their permits and certificates complete information on units of measurement used, i.e., avoid general descriptions such as "one case" or "one shipment" in the case of animals or "one batch" or "one community pot" in the case of plants and instead use in the case of skins, hides or trophies representing one whole animal, the specific number of animals concerned, or include weight (in kg) and other measurements of parts and derivatives as appropriate for animals and in the case of plants, cuttings, seeds, seedlings, etc., use the number of plants concerned, or include weight (in kg) and other measurements of parts and derivatives as appropriate for plants;
- c) that Parties adapt the contents and, to the extent practicable, the format of their export permits and re-export certificates to the standard model attached to the present Resolution;
- d) that each form be printed in one or more of the working languages of the Convention (English, French, Spanish) and the national language, if different from one of the working languages;
- e) that Parties take appropriate security measures as recommended in Resolution Conf. 3.7 in order to reduce the risk of forgeries or misuse of permits and certificates; and
- f) that Parties communicate to the Secretariat information relating to stricter domestic measures in accordance with Article XIV, paragraph 1(a), of the Convention, which may affect the legality of imports, exports or re-exports; and

REQUESTS the Secretariat

- a) to disseminate the information mentioned in sub-paragraph f) above to other Parties with a view to ensuring effective implementation in the context of Article XIII of the Convention; and

* This document was prepared after the meeting from document Com. 3.16 adopted without amendments. (Note from the Secretariat).

- b) to conduct a study commencing after the close of the third meeting of the Conference of the Parties for the purpose of evaluating the implementation of effectiveness of the above recommendations and report to the third meeting of the Conference of the Parties.



CONVENTION ON
INTERNATIONAL TRADE IN
ENDANGERED SPECIES OF
WILD FAUNA AND FLORA

☐ EXPORT

1. PERMIT Original
No.

☐ RE-EXPORT

2. Valid until

3. Consignee (name and address, country)

4. Permittee (name and address, country)

5. Special conditions

6. Name, address, national seal/stamp and country of Management Authority

(TO BE PRINTED)

7./8. COMMON NAME AND SCIENTIFIC NAME (genus and species)
OF ANIMAL OR PLANT

9. Description of part or derivative,
including identifying marks or numbers
(age/sex if live)

10. Appendix No.
and source
(W.C.A. or O)

11. Quantity: number of specimens
and/or net weight (kg.)

A

Country of origin *

Permit No.

B

Country of origin *

Permit No.

C

Country of origin *

Permit No.

D

Country of origin *

Permit No.

★ 12. Country in which the specimens were taken from the wild, bred in captivity or artificially propagated

13. THIS PERMIT IS ISSUED BY:

Place

Date

Signature

Official stamp and title

14. EXPORT ENDORSEMENT:

15. Bill of Lading/Air Way-Bill Number:

See block 7	Quantity
A	
B	
C	
D	

Port of Exportation

Date

Signature

Official stamp and title

Instructions and Explanations

(The instructions correspond to block numbers of the form)

1. Either the export or re-export square must be checked in accordance with Article III, paragraph 4, and Article IV, paragraph 5, of the Convention. The original number is a unique number issued to each permit by the national Management Authority.
2. The date of expiration of the permit is not to exceed six months from the issuing date.
3. Name and address of person, persons or company receiving shipment in country of destination. The country name must be mentioned.
4. Name and address of person, persons or company which has (have) been granted a permit. The country name must be mentioned.
5. Special conditions may refer to national legislation or special conditions placed on the shipment by the exporting/re-exporting country.
6. Space for printed national seal or stamp of the Management Authority of the country issuing the permit, and its name, address, etc.
- 7.-8. The common name of the animal or plant as known in the country issuing the permit, and the scientific name (Latin name) of the animal or plant as it appears in the Convention appendices, should be used. The binomial (two names, genus and species) nomenclature should be used whenever possible.
9. Description of the specific items entering trade, such as live animals, raw ivory, worked ivory, wallets, shoes, etc. should be entered here. Where possible, identifying marks and numbers, such as tags for furs and hides or brand/photographs for live animals should be noted. Sex and age of live specimens should be noted, when possible.
10. The number of the Convention Appendix (I, II or III) on which the species is listed should be entered here. "Source" refers to where the animal or plant was obtained. If the animal or plant was obtained from a wild uncontrolled environment, a **W** (wild) should be entered. If the animal was bred in captivity, a **C** (captive bred) should be entered. If the plant was artificially propagated, an **A** (artificially propagated) should be entered. If the animal or plant were obtained from a situation which does not fit the above categories, an **O** (other) should be entered. The symbol **C** or **A** does not serve as certification of bred in captivity or artificially propagated. Separate certification should be issued to qualify for an Article VII exemption.
11. Quantity should be filled out to represent, when possible, the actual number of animals or plants in trade. When it is not possible to identify actual numbers of animals or plants, a weight measurement should be used. Quantity should not refer to number of boxes, bales or other general category.
12. The country of origin is that country in which the specimens were taken from the wild, bred in captivity, or artificially propagated. In the case of re-export, the original number(s) from the permit(s) from the country of origin, which should have accompanied the specimens when they were imported, should be noted in the block(s) for "Permit No.".

This block shall be completed by indicating the country of origin except in specific cases which must be justified. The justification for omitting the country of origin shall be stated in block 5.
13. To be completed by the official who authorized the permit.
14. To be completed by the official who inspects the shipment upon exportation / re-exportation. The actual quantities of specimens exported / re-exported should be marked in the block provided for this information.
15. Bill of lading or air way-bill number should be entered as appropriate.

Security Measures

CONSCIOUS of the desirability to make recommendations for improving the effectiveness of the Convention as specified in Article XI, paragraph 3(e);

RECALLING that the Parties are required to take appropriate measures to prohibit trade in specimens in violation of the Convention;

RECOGNIZING the need to establish the existence of forgeries and misuse of documents as soon as possible in order to enable the Parties concerned to take appropriate measures;

CONSIDERING the need to improve the quality of original documents issued for Convention purposes;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

RECOMMENDS

- a) that the Parties affix to all original permits and certificates a serially numbered adhesive security stamp (to be designed by the Secretariat) and validate said stamp with the signature of the issuing officer written across the face of the stamp and onto the permit itself;
- b) that for wildlife specimens of exceptional value (such as ivory), in addition to affixing the stamp, all Parties consider issuing permits and certificates printed on a security paper; and
- c) that whenever irregularities are suspected, the Parties exchange issued and/or accepted permits or certificates to verify their authenticity and, in addition, that the Parties exchange on a regular basis, copies of representative permits and certificates issued and received; and

DIRECTS the Secretariat to design, and at the request of the Parties, print and distribute to the Parties at cost, serially numbered adhesive security stamps, similar in size to large postage stamps, and keep records of the distribution of such stamps.

* This document was prepared after the meeting from document Com. 3.9 (Rev.) adopted without amendments. (Note from the Secretariat).

Acceptance of Comparable Documentation Issued by States not Party to the Convention

RECALLING the provisions of Article X of the Convention and the Recommendation 6 a) of the first meeting of the Conference of the Parties (Berne, 1976), which make the acceptance of comparable documentation issued by a State not Party to the Convention conditional upon issuance by the competent authorities in that State and upon substantial conformity with the requirements of the Convention for permits and certificates;

CONSCIOUS of the risk of exports and re-exports from States not Party to the Convention, which might jeopardize the effectiveness of the Convention unless the above mentioned conditions are observed by all Parties;

CONSIDERING the need to provide guidance to Parties for the uniform implementation of Article X of the Convention;

CONSIDERING further the need to keep States not Party to the Convention informed of its progressive implementation, to enable them to express their views regarding trade with the Parties, and to promote wider participation in the Convention;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

RECOMMENDS that documents issued by a State not Party to the Convention be not accepted by Parties unless they contain:

- a) the name, stamp and signature of a competent issuing authority; nature conservation authorities shall be considered competent unless the State concerned has designated another authority for that purpose of which it may inform the Secretariat or interested Parties;
- b) sufficient identification of the species concerned for the purposes of the Convention;
- c) certification of the origin of the specimen concerned including the export permit number from the country of origin, or justification for omitting such certification;
- d) in the case of export, certification to the effect that export will not be detrimental to the survival of the species and that the specimen was not obtained in contravention of the laws of the State of export;
- e) in the case of re-export, certification to the effect that the competent authority of the country of origin has issued an export document which substantially meets the requirements of Article VI of the Convention;
- f) in the case of export or re-export of live specimens, certification to the effect that transport will be in a manner which will minimize the risk of injury, damage to health or cruel treatment;
- g) in the case of import of specimens under Article III of the Convention, certification to the effect that the import will be for purposes which are not detrimental to the survival of the species involved and that the specimens are not to be used for primarily commercial purposes; and
- h) in the case of import of live specimens under Article III of the Convention, certification to the effect that the purposed recipient is suitably equipped to house and care for them; and

REQUESTS that the Secretariat compile, and communicate to the Parties, on the basis of the information available, updated lists of competent authorities issuing comparable documentation in States not Party to the Convention, and other information relevant to the uniform implementation of Article X; and, to the extent practicable, communicate for this purpose with States not Party to the Convention.

* This document was prepared after the meeting from document Com. 3.19 adopted after having been amended. (Note from the Secretariat).

International Compliance Control

AWARE that in the past, several cases of violation of the Convention have occurred due to inadequate or insufficient implementation by Management Authorities in both exporting and importing countries regarding the surveillance, issuance of documentation and control of compliance with the provisions regulating trade in animal and plant species, and their parts and derivatives;

NOTING the contents of document Doc. 3.10.5 prepared by the Secretariat on International Compliance Control, and the provisions of Article XIII of the Convention;

CONSIDERING that it is of utmost moral, biological, ecological and economic interest for all Parties to the Convention that such violations do not re-occur and that the mechanisms established for the Convention in this respect are fully implemented, so as to insure their normal and efficient functioning to control trade in, and afford effective protection to, endangered animal and plant species;

AFFIRMING the obligation of Parties to collaborate closely in the application of the Convention, through expeditious exchange of information on cases and situations related to wildlife trade suspected to be fraudulent, so as to enable other Parties concerned to apply legal sanctions;

RECOGNIZING that the developing countries, because of their special socio-economic, political, cultural and geographic circumstances have major difficulties in meeting appropriate control requirements, even though this does not exempt them from observing the highest possible degree of effectiveness;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

RECOMMENDS

- a) that all Parties
 - i) ensure strict compliance and control in respect of all mechanisms and provisions of the Convention relating to the regulation of trade in animal and plant species listed in Appendix II, and of all provisions ensuring protection against illegal traffic for the species listed in the appendices;
 - ii) in case of violation of the above-mentioned provisions, immediately take appropriate measures pursuant to Article VIII, paragraph 1, of the Convention in order to penalize such violation and to take appropriate remedial action; and
 - iii) inform each other on all circumstances and facts likely to be relevant as regards illegal traffic and also on control measures, with the aim of eradicating such traffic;
- b) that the exporting Parties in particular
 - i) take all measures at their disposal to comply with each and every technical and administrative requirement laid down by the Convention;
 - ii) assure that export permits or re-export certificates are issued by a competent authority established by these Parties pursuant to the Convention; and
 - iii) ensure that export permits or re-export certificates are not issued for specimens of animal or plant species listed in Appendix I, except in the cases provided for under the Convention especially under Article III and VII of the Convention; and

* This document has been prepared after the meeting from document Com. 3.27 (Rev.) adopted after having been amended. (Note from the Secretariat).

- c) that importing Parties in particular
 - i) do not accept under any circumstances or pretext, export or re-export documents issued by any authority, irrespective of its hierarchical level, other than the Management Authority officially designated as competent by the exporting Party and duly notified to the Secretariat; and
 - ii) do not authorize under any circumstance or pretext, the re-export of specimens for which there is evidence that they were imported in violation of the Convention.

Conf. 3.10*

Review and Harmonization of Annual Reports

CONSIDERING the importance of the annual report provided by Parties under the provisions of Article VIII, paragraph 7, of the Convention for the purposes of trade monitoring;

RECALLING Resolution Conf. 1.5, paragraph 13, adopted at its first meeting (Berne, 1976), and Resolution Conf. 2.16, adopted at its second meeting (San José, 1979);

NOTING with appreciation the work carried out by the TRAFFIC Group as consultant for the comparative tabulation of the trade statistics provided in the 1978 annual reports;

RECOGNIZING that many Parties have not to this point complied with the reporting requirements of the Convention in a full and timely manner;

CONSCIOUS of the need to harmonize the system and format of trade statistics in order to make optimal use of these reports;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

URGES every Party to comply fully with the requirements of Article VIII, paragraph 7, of the Convention and Resolutions Conf. 1.5 and Conf. 2.16, and in particular with respect to:

- i) alignment of statistics with the calendar year;
- ii) submission within the required deadlines and in the required quantities; and
- iii) indication of the countries of origin, re-export and destination or justification for omitting such indication;

RECOMMENDS

- a) that specimens be listed in reports under the appendices on which the species is listed, with a special annotation where required, e.g., in the case of Appendix I specimens covered by exemptions pursuant to Article VII of the Convention, and also to include where available, particulars of specimens seized under provisions of the Convention;
- b) that all export and re-exports be shown in a combined table, in the order of species listed, and with an annotation in the case of re-exports indicating the country of origin or justifying the omission of such indication;
- c) that the mandate of the Technical Expert Committee be expanded to include undertaking a periodic review of annual reports;
- d) that the Nomenclature Committee and the Identification Manual Committee provide guidance also on the appropriate classification of taxa and statistical data in the annual reports of the Parties;
- e) that Parties studying or developing computerization programmes for licensing and reporting trade under the Convention consult with each other, and with the Secretariat, in order to exchange information on the computer language used and to ensure optimal harmonization and compatibility of systems; and
- f) that Parties follow the format for the annual report as suggested by the Secretariat; and

REQUESTS the secretariat to continue the regular comparative tabulation of annual reports from Parties, with a view to the publication of a Yearbook of International Wildlife Trade, and in consultation with the Chairman of the Technical Expert Committee, to explore the availability of external funding for this purpose.

* This document was prepared after the meeting from document Com. 3.15 (Rev.) adopted without amendments. (Note from the Secretariat).

Trade in Rhinoceros Horn

CONSCIOUS of the highly endangered status of all rhinoceros species;

IN VIEW of the findings of the IUCN/WWF studies of the international trade in rhino products;

CONSIDERING the particularly rapid decline in numbers of rhinoceros to a critically low level in many areas primarily because of demand for its horn;

RECOGNIZING that illegal trading activities in rhinoceros horns are substantial and that urgent measures are needed to save rhinoceros species from extinction despite their listing on Appendix I of the Convention of International Trade in Endangered Species of Wild Fauna and Flora;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

RECOMMENDS

- a) that the Secretariat make representations, in the ways it considers most appropriate, on behalf of the Parties to the Governments of all non-Parties, where records show they have imported or exported rhinoceros products within the past five years, to request that they take measures with a view to preventing rhinoceros products from being commercially imported or exported; and
- b) that the Secretariat make representations to both Party and non-Party Governments and request them to halt all trade by placing a moratorium on the sale of all government and parastatal stocks of rhino products, and that in the case of Parties these stocks be recorded in the annual reports to the Convention.

* *This document was prepared after the meeting from document Com. 3.26 adopted after having been amended. (Note from the Secretariat).*

Trade in African Elephant Ivory

CONSIDERING that the status of the African elephant (*Loxodonta africana*) and the volume of international trade in its ivory justified the inclusion of this species in Appendix II of the Convention at the first meeting of the Conference of the Parties (Berne, 1976);

CONSCIOUS of the fact that, due to the increasing monetary value of ivory, illegal trade activities have reached a significant level, that ivory is frequently traded with inadequate documentation, and that States which are not Party to the Convention play a special role in this trade;

RECALLING Resolution Conf. 2.6, adopted by the Conference of the Parties at its second meeting (San José, 1979), which recommends the use of the options provided by Article XIV of the Convention to apply stricter domestic measures and direct contacts between Management Authorities when it is suspected that an Appendix II species is traded in a manner detrimental to the survival of the species or in contravention of the laws of any country involved in the transaction;

NOTING the positive results experienced by a number of Parties having applied stricter domestic measures for ivory trade, in accordance with the provisions of Article XIV;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

RECOMMENDS

- a) that any imports, exports or re-exports of African elephant ivory by a Party be authorized only if the Party is satisfied that the ivory was legally acquired in the country of origin;
- b) that the term "raw ivory" include all whole African elephant tusks, polished or unpolished and in any form whatsoever, and all African elephant ivory in cut pieces, polished or unpolished and howsoever changed from its original form, except for "worked ivory". The term "worked ivory" shall cover all items made of ivory for jewelry, adornment, art, utility or musical instruments (but not including whole tusks in any form, except where the whole surface has been carved), provided that such items are clearly recognizable as such and in forms requiring no further carving, crafting or manufactured to effect their purpose;
- c) that permits or certificates for raw ivory be accepted only if they mention the actual country of origin;
- d) that relevant information be exchanged among Parties, and between Parties and the Secretariat, and if there is any doubt concerning the validity of an ivory export permit or re-export certificate, a copy of the document be submitted to the Management Authority concerned for clarification;
- e) that each tusk or piece of raw ivory be marked by means of punched-dies using the following formula: Country of origin ISO code of two letters, serial number for the year in question/the last two digits of the year and the weight in kilograms (e.g., KE 127/8114). This number is to be placed at the "lip mark", in the case of whole tusks, and indicated with a flash of colour;
- f) that Parties do not accept raw ivory which is not clearly marked;
- g) that Parties make every effort to encourage wider participation in the Convention by States trading in ivory, which are not yet Party to the Convention; and
- h) that where possible Parties adopt domestic measures whereby importers, exporters or re-exporters of raw ivory would be subject to a licence to conduct such trade, unless such trade is only conducted by the Party itself.

* This document was prepared after the meeting from document Com. 3.26 adopted after having been amended. (Note from the Secretariat).

Conf. 3.13*

Trade in Whale Products

MINDFUL of the need for special attention to the conservation of whales and other cetaceans;

RECALLING Resolutions Conf. 2.7, 2.8 and 2.9 adopted at its second meeting (San José, 1979);

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

RECOMMENDS

- a) that Parties pay particular attention to the documentation requirements for specimens of cetaceans under Articles IV and XIV; and
- b) that Parties give urgent consideration to Resolution Conf. 2.7 calling on those Parties which do not currently adhere to the International Convention for the Regulation of Whaling to do so.

* This document was prepared after the meeting from document Com. 3.21 adopted without amendments. (Note from the Secretariat).

Disposal of Confiscated or Accumulated Specimens of Appendix I Species

RECOGNIZING that the second meeting of the Conference of the Parties (San José, 1979) addressed the issue of exchanges of confiscated Appendix I specimens;

RECOGNIZING that Parties have experienced problems concerning disposal of specimens of Appendix I species which become available due to confiscation, accidental death or otherwise;

RECOGNIZING further that a system of international exchange of confiscated dead specimens for scientific/educational and for enforcement/identification purposes, recommended for consideration by the second meeting of the Conference of the Parties, has not yet been developed;

RECOGNIZING also that the second meeting of the Conference of the Parties recommended future elaboration of a system for living specimens as well as dead specimens; and that Article VIII, paragraph 4(b), of the Convention requires that Parties return a confiscated living specimens to the State of export, after consultation with and at the expense of that State, or take it to a rescue centre or other appropriate place;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

RECOMMENDS

- a) that the Secretariat develop a uniform international format for the listing and inventorying of available specimens and for the qualifications of scientific institutions, rescue centres or such other places as the Management Authority of each Party deems appropriate, to receive confiscated or other accumulated Appendix I specimens;
- b) that the Secretariat establish a central point of coordination to expedite the development of criteria and procedures for the implementation of international exchanges;
- c) that each Party deposit with the Secretariat inventories of excess confiscated or accumulated Appendix I specimens held by that Party;
- d) that each Party update its inventories annually and that the Secretariat circulate such inventories to the Parties;
- e) that Parties transfer confiscated or accumulated dead specimens of Appendix I species only for bona fide scientific/educational or enforcement/identification purposes, and that Parties save in storage or destroy those excess specimens where transfer for these purposes is not practicable;
- f) that Parties make arrangements in accordance with Article VIII, paragraph 4, of the Convention, to return confiscated or accumulated living specimens of Appendix I species to the country of origin for return to the wild, where it would be practicable and beneficial to the species to do so; and that the Secretariat consider the development of appropriate guidelines for agreements under which return to the wild would take place;
- g) that, in any other event, Parties transfer confiscated or accumulated living specimens to a rescue centre or other appropriate place pursuant to agreement with the recipient that the specimens are to be used only for non-commercial scientific or educational purposes which will promote the survival of the species; and
- h) that if living specimens are transferred under g) above, Parties give priority to places with facilities for promoting reproduction of the species.

* This document was prepared after the meeting, from document Con. 3.24 adopted after having been amended. (Note from the Secretariat).

Ranching

CONSIDERING that the provisions of Article III of the Convention control international commercial trade in specimens of species included in Appendix I;

RECOGNIZING that this control of trade in species included in Appendix I is intended to improve the status of their wild populations;

RECOGNIZING that as a result of this control, the populations of species included in Appendix I may vary between the countries in which they occur in the degree to which they are endangered;

RECOGNIZING the importance of maintaining Appendix I protection in those countries where the wild population is still endangered;

RECALLING that the terms of the Resolution on specimens bred in captivity or artificially propagated (Conf. 2.12), adopted at its second meeting (San José, 1979), do not allow the entry into trade of specimens of species included in Appendix I which have been reared in captivity following collection from the wild;

RECOGNIZING the desire of some Parties with successful programmes for the conservation of certain species to restore those species into international trade as soon as to do so is no longer detrimental to the survival of their wild populations of those species;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

RECOMMENDS

- a) that populations of species included in Appendix I, which occur within the jurisdiction of Parties, but which are deemed by the Parties to be no longer endangered and to benefit by ranching (by which is meant the rearing in a controlled environment of specimens taken from the wild) with the intention of trade be included in Appendix II;
- b) that, in order to be considered by the Parties, any proposal to transfer a population to Appendix II in order to conduct a ranching operation satisfy the following general criteria:
 - i) the operation must be primarily beneficial to the conservation of the local population (i.e., where applicable, contribute to its increase in the wild); and
 - ii) the products of the operation must be adequately identified and documented to ensure that they can be readily distinguished from products of Appendix I populations;
- c) that for obtaining approval for transfer to Appendix II of the country's populations, or a smaller geographically separate population of the species involved, in order to conduct a ranching operation, the Management Authority submit a proposal to the Secretariat, such a proposal containing the following:
 - i) evidence that the taking from the wild shall have no significant detrimental impact on wild populations;
 - ii) an assessment of the likelihood of the biological and economic success of the ranching operation;
 - iii) assurance that the operation shall be carried out at all stages in a humane (non-cruel) manner;
 - iv) assurance that the operation will be beneficial to the wild population through reintroduction or in other ways;
 - v) a description of the methods to be used to identify the products through marking and/or documentation; and

* This document was prepared after the meeting from document Com. 3.12 adopted after having been amended. (Note from the Secretariat).

- vi) assurance that the criteria continue to be met, with records open to scrutiny by the Secretariat, and that the Management Authority shall include in its reports to the Secretariat sufficient detail concerning the status of its population and concerning the performance on any ranching operation to satisfy the Parties that these criteria continue to be met; and
- d) that in order to be discussed at the next meeting of the Conference of the Parties any proposal for amendment of the appendices pursuant to this resolution be received by the Secretariat at least 330 days before that meeting; the Secretariat will consult with the Standing Committee in seeking such appropriate scientific and technical advice to verify that the criteria specified under b) and c) have been met; if in the opinion of the Secretariat further information concerning the criteria is required, the Secretariat shall request information from the proposing Party within 150 days after receipt; thereafter, the Secretariat shall communicate with the Parties in accordance with Article XV of the Convention.

Implementation of the Guidelines on Transport of Live Specimens

CONSIDERING that the Convention, in Article III, IV and V, requires Management Authorities to be satisfied that specimens will be so prepared and shipped as to minimize the risk of injury, damage to health, or cruel treatment, prior to the grant of an export permit or a re-export certificate and that similar requirements appear in other Articles of the Convention;

CONSIDERING also that international guidelines to assist the Parties in making the required judgments are both appropriate and necessary to assure international uniformity in the implementation of the Convention;

CONSIDERING further that the purpose of such guidelines is to express our concern for the care and handling of live animals and plants, and to preserve and protect the wild resources which these animals and plants represent;

NOTING that the revised version of the Guidelines for Transport and Preparation for Shipment of Live Wild Animals and Plants adopted at the second meeting of the Conference of the Parties (San José, 1979) has been communicated to all Parties;

MINDFUL of the fact that implementation of these guidelines depends on action to be taken at the national level, and within international organizations and conferences competent to regulate conditions of carriage;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

RECOMMENDS

- a) that suitable measures be taken by the Parties to promote the full and effective use of the Guidelines by Management Authorities and they be brought to the attention of carriers, freight forwarders and international organizations and conferences competent to regulate conditions of carriage by air, land and sea or inland waterways;
- b) that, in transmitting these Guidelines, an invitation be extended to the above organizations and institutions to comment on and amplify these guidelines so as to promote their effectiveness, and that the Parties encourage all traders, shippers, carriers, freight forwarders and handlers concerned with the transport and preparation for shipment of live wild animals and plants, to comply with these guidelines to the extent applicable; and
- c) that the mandate and the composition of the Technical Expert Committee be expanded to consider recommendations from the Parties to amend the Guidelines. The Committee shall circulate for comment the recommendations to the Parties and to interested persons, organizations and conferences and report its recommendations to the next regular meeting of the Conference of the Parties.

* This document was prepared after the meeting from document Com. 3.7 Annex adopted without amendments. (Note from the Secretariat).

International Reporting System for Specimens Stressed during Transport

RECALLING approval by the second meeting of the Conference of the Parties (San José, 1979) of the Guidelines for Transport and Preparation for Shipment of Live Animals and Plants;

RECOGNIZING that proper implementation, enforcement and evaluation of the Guidelines requires acquisition of information concerning the wellbeing of live specimens during the international phase of shipment by the Management Authority of the country that issued the permit or certificate covering such shipment;

CONSIDERING that the Technical Expert Committee on Harmonization of Permit Forms and Procedures has, in developing a proposal for a harmonized permit form, considered problems relevant to the development of an international reporting system for stresses specimens, and that it would be best to entrust the development of such a system to the Technical Expert Committee;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

RECOMMENDS

- a) that the Technical Expert Committee develop an international reporting system for specimens stressed during transport which would enable officials inspecting specimens protected under the Convention at ports of entry to make a brief report of undue stress on specimens shipped alive to the Management Authority of the country that has issued the export permit or re-export certificate for the shipment; and
- b) that the Technical Expert Committee make its report on the development of an international reporting system for specimens stressed during transport together with any recommendations to the fourth meeting of the Conference of the Parties; and

REQUESTS the Secretariat to make the necessary preparations and coordinating arrangements for the appropriate functioning of the Technical Expert Committee with regard to the accomplishment of the tasks set forth in this Resolution.

* This document was prepared after the meeting from document Doc. 3.14.1 Annex 2 adopted without amendments. (Note from the Secretariat).

Conf. 3.18
of the Convention directs the Secretariat to undertake scientific and technical studies

Identification Manual

CONSIDERING that the identification of specimens of species listed on the appendices is of critical importance to effective enforcement of the Convention, and that Article XII, paragraph 2(c), of the Convention directs the Secretariat to undertake scientific and technical studies, including on means of identifying specimens;

CONSIDERING further the preparatory work already undertaken by the Identification Manual Committee, in cooperation with the Secretariat, as a result of Resolution Conf. 2.4 adopted at the second meeting of the Conference of the Parties (San José, 1979);

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

DECIDES to extend the mandate of the Identification Manual Committee up to the fourth meeting of the Conference of the Parties;

INVITES

- a) the Parties to submit in consultation with the Committee appropriate contributions for existing listings on the appendices; and
- b) the Parties that propose additions to the appendices to provide appropriate data for inclusion in the Identification Manual within one year after acceptance of such additions.

* This document was prepared after the meeting from document Com. 3.4 Annex adopted without amendments. (Note from the Secretariat).

Conf. 3.19
Work carried out towards the development of an index of species mentioned in legislation

Index of Species Mentioned in Legislation

CONSIDERING that information on current national and international legislation relating to species of wild fauna and flora is important for the effective implementation of the Convention;

NOTING with appreciation the useful work carried out towards the development of an index of species mentioned in legislation by the IUCN Environmental Law Center, with technical support from the UNEP International Referral System and external financial support from the World Wildlife Fund and the Karl Schmitz-Scholl Fonds;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

RECOMMENDS that Parties provide additional information and corrections as required, on relevant legislative and regulatory texts in the context of Articles VIII, paragraph 7, and XVI, paragraph 4, of the Convention;

CALLS on the UNEP International Referral System to continue cooperation in the programme; and

REQUESTS the Secretariat to continue the project for the updating and further development of the Index of Species Mentioned in Legislation.

* *This document was prepared after the meeting from document Doc. 3.18 Annex adopted without amendments. (Note from the Secretariat).*

Ten Year Review of the Appendices

RECOGNIZING that States attending the 1973 Conference to prepare and adopt an international convention to regulate the international trade in certain species of wild animals and plants, included species in Appendices I and II on the basis of scientific knowledge at the time;

RECALLING that criteria for the addition to and deletion from Appendices I and II were developed and approved by the Parties attending the first meeting of the Conference of the Parties (Berne, 1976);

RECALLING that the criteria were clarified by the Parties at the second meeting of the Conference of the Parties (San José, 1979);

NOTING that the number of species added to the appendices between 1973 and 1981 has greatly increased the number of regulated species;

RECOGNIZING that an effective method of evaluating the effectiveness of the Convention on international trade of species is a periodic trade and biological review of the status of a species;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

RECOMMENDS

- a) that Regional Committees be established to review the trade and biological status of all Appendices I and II species indigenous to the region, and that said review be substantially completed in time for proposals to be made for the fourth meeting of the Conference of the Parties;
- b) that a Secretariat Committee be established to review the trade and biological status of all Appendices I and II species not indigenous to any region, and that said review be completed by 31 December 1981;
- c) that representatives from the Regional and Secretariat Committees meet as a Central Committee including a representative of IUCN between April and June 1982 to appraise and coordinate the regional reviews;
- d) that the Central Committee make recommendations for consideration by the Parties attending the fourth meeting of the Conference of the Parties to take place in 1983;
- e) that the Parties accept the principle that a review of species included in Appendices I and II be made at least every ten years in order to assess the effectiveness of the Convention;
- f) that such reviews take as their basis the criteria agreed at the above meetings of the Conference of the Parties; and
- g) that in preparing such reviews, the Parties and the Committees avail themselves of the data available from IUCN's Conservation Monitoring Centre and from other bodies; and

REQUESTS the Secretariat and regional Standing Committee members to make the necessary preparations and coordinating arrangements for convening the recommended meetings of the Regional Committees and the Central Committee.

* *This document was prepared after the meeting from document Doc. 3.28 Annex adopted after having been amended. (Note from the Secretariat).*

Reverse Listing Concept for Appendices

NOTING the increasing practical problems involved in identifying the numerous species listed for varying degrees of control on the appendices of the Convention;

HEARING at the third meeting of the Conference of the Parties the discussions indicating difficulties in identifying particular subspecies that require management and limitations in trade;

NOTING that listing species to be controlled by name has made problems with taxonomic synonyms, taxa validity, etc., and has created enforcement difficulties;

AWARE that other means of presenting the appendices might solve these problems;

THE CONFERENCE OF THE PARTIES TO THE CONVENTION

RECOMMENDS

- a) that a sub-committee of experts be appointed to examine whether other methods of classification may offer better administrative procedures and more effective management of endangered species and subspecies; and
- b) that this sub-committee examine the implications of the general concept of listing on schedules species in which commercial trade is permitted (as compared to the current system, in which trade in listed species is restricted), and examine all ramifications (including legal problems, if any) in implementing any changes they might wish to put before a future meeting of the Conference of the Parties.

* This document was prepared after the meeting from document Doc. 3.30.1 adopted without amendments. (Note from the Secretariat).